DISTRIBUTOR ‘CANDIDATE FOR MEMBERSHIP’ APPLICATION

Please return all application materials to:

NATIONAL ASSOCIATION OF CHEMICAL DISTRIBUTORS
1560 WILSON BOULEVARD, SUITE 1100, ARLINGTON, VA 22209
TEL: (703) 527-NACD (6223)  FAX: (703) 527-7747  EMAIL: JKENKINS@NACD.COM

Candidacy for Membership in the National Association of Chemical Distributors is open to distributors of chemicals that, as a significant part of their business, take title to goods and resell said goods. Distributor applicants should share the functional interests of NACD members and must accept and comply with NACD’s Responsible Distribution program, its Guiding Principles, and its periodic requirements as a condition of continued membership in NACD. Please review Terms of Agreement on page 7.

Failure to complete any portion of this application may delay processing. Refer to Explanation of Application Sections and Definition of Terms on the last page when determining the appropriate answer(s) for your company.

Applicant/Official Company Representative (Primary Contact)
(Please Print or Type)

<table>
<thead>
<tr>
<th>Company Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Primary Company Representative</td>
</tr>
<tr>
<td>Title</td>
</tr>
<tr>
<td>Address</td>
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<tr>
<td>Address</td>
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<td>Zip</td>
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<td>Telephone</td>
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<tr>
<td>Fax</td>
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<tr>
<td>800#</td>
</tr>
<tr>
<td>Cell</td>
</tr>
<tr>
<td>Website</td>
</tr>
<tr>
<td>Email</td>
</tr>
</tbody>
</table>

Additional Contact (Secondary Contact)
(Please Print or Type)

| Name                                           |
| Title                                          |
| Address                                        |
| City                                           |
| State                                          |
| Zip                                            |
| Telephone                                     |
| Fax                                           |
| Email                                         |
| Cell                                          |

1 A company that takes title (ownership) of products between the time they are purchased and the time they are delivered.
Billing Contact (Accounts Payable)

(Please Print or Type)

Name ____________________________________________ Title ____________________________________________

Address

City ___________________________ State ___________ Zip ___________________________

Telephone __________________________ Fax __________________________ Email __________________________ Cell __________________________

A. Has your company, or any parent/predecessor company, previously held membership with NACD under its current name or any other names?

☐ YES, our company previously held membership in NACD from __________ through __________

Company Name(s): __________________________________________________________

Previous candidate may only re-apply once. Refer to Page 8 of Bylaws.

☐ NO, our company has never held membership in NACD.

B. Dues – Indicate previous year’s Annual Sales: $__________. Please refer to the following list of annual dues categories for NACD candidates. Check the annual sales figures appropriate to your firm’s total annual chemical distribution sales, all subsidiaries, and branches, including, but not limited to, warehouse, third party, consignment, or brokerage/agency sales and indicate the corresponding annual dues amount your firm should pay: $__________ (Dues Year: July 1-June 30).

Please note: Applications must be submitted to NACD with full membership dues payment.

Application Submission Deadline [FY] Schedule: July, October, April

Annual Sales (Previous Year) Annual Dues

<table>
<thead>
<tr>
<th>Annual Sales Range</th>
<th>Annual Dues</th>
<th>Annual Sales Range</th>
<th>Annual Dues</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.0 – 2.5 million</td>
<td>$2,610</td>
<td>95.1 – 102.5 million</td>
<td>$12,640</td>
</tr>
<tr>
<td>2.6 – 5.0 million</td>
<td>3,405</td>
<td>102.6 – 110.0 million</td>
<td>13,100</td>
</tr>
<tr>
<td>5.1 – 7.5 million</td>
<td>4,190</td>
<td>110.1 – 117.5 million</td>
<td>13,575</td>
</tr>
<tr>
<td>7.6 – 10.0 million</td>
<td>4,925</td>
<td>117.6 – 125.0 million</td>
<td>14,045</td>
</tr>
<tr>
<td>10.1 – 15.0 million</td>
<td>5,655</td>
<td>125.1 – 150.0 million</td>
<td>0.0120% x total sales</td>
</tr>
<tr>
<td>15.1 – 20.0 million</td>
<td>6,320</td>
<td>150.1 – 175.0 million</td>
<td>0.0120% x total sales</td>
</tr>
<tr>
<td>20.1 – 25.0 million</td>
<td>6,965</td>
<td>175.1 – 200.0 million</td>
<td>0.0115% x total sales</td>
</tr>
<tr>
<td>25.1 – 30.0 million</td>
<td>7,465</td>
<td>200.1 – 225.0 million</td>
<td>0.0115% x total sales</td>
</tr>
<tr>
<td>30.1 – 35.0 million</td>
<td>7,925</td>
<td>225.1 – 250.0 million</td>
<td>0.0105% x total sales</td>
</tr>
<tr>
<td>35.1 – 40.0 million</td>
<td>8,165</td>
<td>250.1 – 275.0 million</td>
<td>0.0105% x total sales</td>
</tr>
<tr>
<td>40.1 – 45.0 million</td>
<td>8,415</td>
<td>275.1 – 300.0 million</td>
<td>0.0100% x total sales</td>
</tr>
<tr>
<td>45.1 – 50.0 million</td>
<td>8,635</td>
<td>300.1 – 325.0 million</td>
<td>0.0095% x total sales</td>
</tr>
<tr>
<td>50.1 – 57.5 million</td>
<td>8,870</td>
<td>325.1 – 350.0 million</td>
<td>0.0090% x total sales</td>
</tr>
<tr>
<td>57.6 – 65.0 million</td>
<td>9,215</td>
<td>350.1 – 425.0 million</td>
<td>0.0085% x total sales</td>
</tr>
<tr>
<td>65.1 – 72.5 million</td>
<td>9,690</td>
<td>425.1 – 500.0 million</td>
<td>0.0080% x total sales</td>
</tr>
<tr>
<td>72.6 – 80.0 million</td>
<td>10,165</td>
<td>500.1 – 750.0 million</td>
<td>0.0070% x total sales</td>
</tr>
<tr>
<td>80.1 – 87.5 million</td>
<td>11,475</td>
<td>750.1 – 999.9 million</td>
<td>0.0050% x total sales</td>
</tr>
<tr>
<td>87.6 – 95.0 million</td>
<td>12,050</td>
<td>Over 1 billion</td>
<td>0.00425% x total sales</td>
</tr>
</tbody>
</table>

Rev. 04/16/2019
C. Company Profile

1. Does company take title\textsuperscript{2} to goods? □ No □ Yes, what percent: ________ %

2. What percentage of the company’s annual sales is attributed to distribution? □ > 50% □ < 50%

3. Total number of employees in company: ______________

4. Total number of customers: ______________

5. Primary NAICS Codes: __________ Secondary NAICS Codes: __________ DUNS Number: ________

6. Is your company certified: (check and submit most recent certification if applicable):
   - □ ISO 9001
   - □ ISO 14001
   - □ Responsible Care\textsuperscript{®}
   - □ ChemStewards
   - □ Responsible Distribution Canada
   - Other ______________________________

7. Does your company blend? □ No □ Yes, number of locations: ______________

8. Number of facilities: ______________

9. Number of owned and operated warehouse locations: ______________

10. Number of owned and operated terminal locations: ______________

11. Number of leased warehouse locations: __________

12. Number of leased terminal locations: __________

13. Please list the full address of facilities from questions 7-12. (Attach a separate sheet, if necessary)

   ───────────────────────────────────────────────────────────────────────────────────────────────────────────────────────────
   ───────────────────────────────────────────────────────────────────────────────────────────────────────────────────────────
   ───────────────────────────────────────────────────────────────────────────────────────────────────────────────────────────

14. Is company family owned? □ Yes □ No

15. Does your company have a minority business certification? Yes ___ No ___ Type: ______________

16. What percent does your company do of the following?
   ─── Full Line/Re-Packer ─── Factory Pack ─── Trader/Broker

17. Company Description — Please note: NACD reserves the right to edit your company description.

   Please provide a brief (50 words or less, use a separate sheet if necessary) company description and why you want to join NACD.

   ───────────────────────────────────────────────────────────────────────────────────────────────────────────────────────────
   ───────────────────────────────────────────────────────────────────────────────────────────────────────────────────────────
   ───────────────────────────────────────────────────────────────────────────────────────────────────────────────────────────

18. NACD will send you regular communications about programs and events. Please select areas of interest.

   Check all that apply.
   □ Annual Meeting □ ChemEdge □ Education/Training (Executive Education, NACD U, Webinars)
   □ Legislative/Regulatory News □ Responsible Distribution
   □ Regional Events □ Other: ______________

\textsuperscript{2} A company that takes title (ownership) of products between the time they are purchased and the time they are delivered.
Rev. 05/2/2018
D. **Product Information** (circle all that apply).

<table>
<thead>
<tr>
<th>Code</th>
<th>Product/Service</th>
<th>Code</th>
<th>Product/Service</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Acids and Alkalis</td>
<td>K</td>
<td>Naval Stores – Turpentine Resins and Pine Oil</td>
</tr>
<tr>
<td>B</td>
<td>Agricultural Chemicals</td>
<td>L</td>
<td>Oils – Vegetable and Animal</td>
</tr>
<tr>
<td>C</td>
<td>Bagging and Dry Bulk</td>
<td>M</td>
<td>Pigments, Dyestuffs, Fillers</td>
</tr>
<tr>
<td>D</td>
<td>Custom Blending and Packaging – Liquid</td>
<td>N</td>
<td>Plating Chemicals and Metals</td>
</tr>
<tr>
<td>E</td>
<td>Containers – Plastic, Meal, and Paper</td>
<td>O</td>
<td>Resins</td>
</tr>
<tr>
<td>F</td>
<td>Detergents, Surfactants, Emulsifiers, and Wetting</td>
<td>P</td>
<td>Solvents – Organic and Related</td>
</tr>
<tr>
<td>G</td>
<td>Equipment – Mixing, Packaging, Machinery</td>
<td>R</td>
<td>Specialties and Industrial Miscellaneous</td>
</tr>
<tr>
<td>H</td>
<td>Food, Drug, and Cosmetic Chemicals</td>
<td>S</td>
<td>Water Treatment Chemicals</td>
</tr>
<tr>
<td>I</td>
<td>Compressed Gas Packaging</td>
<td>T</td>
<td>Other(s), please list:</td>
</tr>
<tr>
<td>J</td>
<td>Laboratory Reagents and Pine Chemicals</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Market Codes**

- [A] Aerospace
- [B] Appliances
- [C] Automotive
- [D] Bioremediation
- [E] CASE / Adhesives & Sealants
- [F] Construction
- [G] Electronics
- [H] Energy: Oil & Natural Gas
- [I] General Manufacturing
- [J] Glass & Refractory
- [K] HI&I Cleaners & Compounding: Soaps Detergents
- [L] Marine
- [M] Metal Finishing
- [N] Mining
- [O] MRO
- [P] Municipal
- [Q] Nanotechnology
- [R] Paints, Coatings, Ink & Graphic Arts
- [S] Petroleum & Lubricants
- [T] Plastics
- [U] Primary Chemical Processing
- [V] Printing & Packaging
- [W] Pulp & Paper
- [X] Power Generation
- [Y] Textiles
- [Z] Tires & Rubber
- [Z1] Water Treatment

**Industries**

**Agricultural**

- [A1] Plant/Crop Nutrients (AG)
- [A2] Pesticides (AG)
- [A3] Herbicides (AG)
- [A4] Adjuvants (AG)
- [A5] Plant/Crop Nutrients (AG)
- [A6] Pesticides (AG)
- [A7] Herbicides (AG)
- [A8] Adjuvants (AG)

**Fine Ingredients**

- [F1] Food (FI)
- [F2] Pharmaceuticals (FI)
- [F3] Personal Care & Cosmetics (FI)
- [F4] Nutraceuticals (FI)
- [F5] High Purity Chemicals (FI)
- [F9] Other

**Services**

- [A] International Chemical Import/Export/Trading
- [B] Chemical Warehousing - Third Party
- [C] Chemical Logistics
- [D] Custom Blending / Packaging-Liquid
- [E] Bagging & Dry Bulk
- [F] R&D / Laboratory services
- [G] HazWaste Removal
- [H] Solvent Reclamation
- [I] Technical training
- [O] Other

Rev. 05/2/2018
E. Sponsor Statement

The sponsor must be a Regular distributor member of NACD. For a listing of NACD Regular member in good standing, visit “Find a Responsible Distributor” at www.nacd.com/about/find-a-responsible-distributor/.

Applicant Company

The applicant meets the basic requirements for participating as an NACD Candidate based on information available to me.

Sponsor Name

Company

Address/Telephone

Remarks

Signature Date

Sponsors: Email this completed form to rfoley@nacd.com - Attn: Membership Department

F. NACD Responsible Distribution® – Commitment Statement

The company will make its best effort to comply with the National Association of Chemical Distributors’ (NACD) Code of Management Practice within the dates specified in the Candidate status timetable. The following are the steps involved in the process of implementing and working toward the objectives of Responsible Distribution:

- The Company designates a Responsible Distribution Company Code Coordinator (next page).
- Attend a Responsible Distribution Workshop (two complimentary passes to use in the first two years per applicant company, one each for the Code Coordinator and a senior management representative).
- The requirements of the Responsible Distribution process are reviewed with company management.
- Company Management conducts an initial assessment of its compliance with the Responsible Distribution program.
- An action plan to implement the Responsible Distribution program is completed.
- The Company is in compliance with the Responsible Distribution program (See timeline below).
- The Responsible Distribution program of planning and executing action is repeated and reassessed annually.

Timeline: Candidates and new Chemical Handler Affiliates (CHAs) – Candidacy Period to meet Responsible Distribution Membership Requirements

<table>
<thead>
<tr>
<th>Time Period</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application Approved—6 months</td>
<td>• Complete Self-Assessment Report (SAR)* then review and check-in with Responsible Distribution Mentor</td>
</tr>
<tr>
<td></td>
<td>• Schedule and conduct visit by Mentor or NACD Representative</td>
</tr>
<tr>
<td></td>
<td>• Receive invoice for Candidacy fee - $1,495**</td>
</tr>
<tr>
<td></td>
<td>• Attend and pass NACD U’s required courses:</td>
</tr>
<tr>
<td></td>
<td>o Senior Managers: “Responsible Distribution 101 for Senior Managers”</td>
</tr>
<tr>
<td></td>
<td>o Code Coordinators: “Responsible Distribution 101”</td>
</tr>
<tr>
<td></td>
<td>• Complete Responsible Distribution Company Overview</td>
</tr>
<tr>
<td></td>
<td>• Participate in or register for an NACD Responsible Distribution Workshop and</td>
</tr>
</tbody>
</table>

Rev. 05/2/2018
### Candidate Class session, ChemEdge, NACD U Responsible Distribution code course(s), and/or Responsible Distribution Webinar(s)

| 7-12 months | • Confirm Candidacy fee has been paid  
• Review documentation (SAR, Overview, and additional company materials) with the Mentor to support at least four codes determined by the Mentor and/or Responsible Distribution department within the first six months. The Mentor can assist with identifying gaps or omissions.  
• Schedule Site verification and sign agreement with verifier confirming cost, date, and location  
• Complete Responsible Distribution Site Profile for selected verification site |
| 13-18 months | • Review documentation with Mentor to support at least four additional codes |
| 18-19 months | • Responsible Distribution progress review |
| 19-24 months | • Review documentation with Mentor to support the final remaining five codes |
| 20-28 months | • Write all online specifications for Responsible Distribution program  
• Provide Mentor copy of specifications for review prior to submitting to verifiers |
| 29-31 months | • Conduct and complete scheduled*** Site verification  
• Pay NACD for Site verification (if not already paid as part of the yearly Candidateship Dues) |
| 32-33 months | • Issue verification report, if done not in earlier period (determination of either a pass, corrective actions required, re-verification required)  
• Certificate available for download (passed verifications only) |
| 34-36 months | • If applicable, complete corrective actions and submit to verifier  
  o Verifier reviews, provides feedback and issues report (determination of either a pass or re-verification required)  
  o Certificate available for download (passed verifications only)  
  o Schedule re-verification (see below), if appropriate and within 6-12 months from originally scheduled verification date. |
| 36+ months (cannot exceed 48 months) | • Place into a “suspended” candidateship status with sole focus on Responsible Distribution related activities  
• Re-verification schedule***:  
  o Code Coordinator checks in with Mentor  
  o Company prepares specifications to meet re-verification requirements  
  o Company submits new/revised online specifications to verifier  
  o Company pays for re-verification  
  o Complete scheduled re-verification  
  o Issue re-verification report, if not in earlier period (determination of either a pass, corrective actions required, or failed)  
  o Certificate available for download (passed verifications only)  
  o A failed re-verification will cause the company to be recommended to board for Candidacy termination |

**NOTE:** Need Responsible Distribution assistance? Contact Jim Benning, Responsible Distribution Mentor Program at jbenning@outlook.com, and/or Caroline Kramer, manager, Responsible Distribution at cbrooks@nacd.com.

*To better prepare NACD Candidates and new CHAs with the initial site verification, those companies should complete the Self-Assessment Report, which follows, and review with the Responsible Distribution Mentor.  
**$1495 Candidacy Fee includes one site visit by the Mentor or another NACD representative as well as two free Responsible Distribution workshop registrations, one each for the code coordinator and a senior management representative, as well as seats at all the following programs specific to Candidates:  
• Candidate training sessions during Responsible Distribution Workshops, generally offered twice/year  
• Candidate conference calls, offered several times each year  
• Priority scheduling with the Responsible Distribution Mentor during ChemEdge and Annual Meeting weeks.

Rev. 05/2/2018
***Scheduling delays will need to be coordinated with NACD Staff to ensure the delays do not cause the Candidate, Candidate, or CHA Company to miss required timeframe deadlines.

I have reviewed NACD’s documents for Responsible Distribution (www.nacd.com/rd). The signature below attests to corporate support for Responsible Distribution, the Guiding Principles, and the Code of Management Practice.

Signed on behalf of:

________________________________________________________________________

Company

________________________________________________________________________

Name __________________________ Title (Must be President/CEO/C-Level officer)

________________________________________________________________________

Signature __________________________ Date __________________

**NACD Responsible Distribution - Designation of Company Code Coordinator**

Company __________________ designates the individual(s) listed below as the Responsible Distribution Code Coordinator(s) for this company. We understand Responsible Distribution requires our company to designate a Primary Code Coordinator.

1. Primary Code Coordinator:

________________________________________________________________________

Name __________________________

________________________________________________________________________

Title __________________________

________________________________________________________________________

Address __________________________

________________________________________________________________________

Phone/Fax/Email __________________________

2. Secondary Code Coordinator:

________________________________________________________________________

Name __________________________

________________________________________________________________________

Title __________________________

________________________________________________________________________

Address __________________________

________________________________________________________________________

Phone/Fax/Email __________________________

**G. Terms of Agreement**

I, __________________________, have read and understand the NACD Candidate for Membership qualifications and requirements outlined below* in the Candidate for Membership Application and understand that __________________________ qualifies as an NACD “Candidate for Membership” (Bylaws – Article III, Section 8, page 8) until fully complying with Responsible Distribution as it exists on the date of acceptance.

*Candidate Requirements: Please **initial** on each line to confirm you understand the agreement terms.
___ Pay dues at time of application based on prior year’s sales and every July thereafter
___ Pay Candidacy Fee - $1,495, one-time fee to be paid within four months of joining
___ Comply with Responsible Distribution, including completion of all NACD U courses for the program in each cycle (three years)
    Approximate costs: $800-$1,000
___ Verification Costs: $3,600 or $5,600 each cycle (single site, USA Based) (every three years)
___ Complete annual Membership and Safety Questionnaire
___ Adhere to Responsible Distribution verification cycle (three years)

______________________________
Signature (Must be President, CEO, C-Level Officer)

______________________________
Title

______________________________
Date

Bylaws – Article III, Section 8

A Candidate for membership is a company not eligible for Regular Candidateship because it is not in full compliance with NACD Responsible Distribution® at the time the company’s application for candidateship is submitted.

A Candidate is not a member under Section 2. of this Article, but shall advance to Regular Membership status and must submit proof of third-party verification within three years following the date that the Candidate’s application for candidateship is approved by NACD’s Board of Directors. Failure to advance to Regular Membership, or to submit proof of third-party verification within the time required, shall result in automatic termination of Candidate status. Any company that withdrew or was terminated from Candidate status may reapply as a Candidate after a waiting period of one year. Companies may only re-apply one time, except in rare situations and at the discretion of the NACD Board

A Candidate shall pay such dues and fees as assessed; shall not have the right to vote; shall not use the Responsible Distribution logo; shall meet such other terms and conditions, including Responsible Distribution milestones, and other conditions of Candidate status as may be imposed by NACD from time to time.

A Candidate’s benefits shall include:
1. Attendance at all association functions of the membership.
2. Receipt of all NACD literature (except proprietary information).
3. A listing in the NACD Directory and website in the Candidate category.

******************************************************************************FOR INTERNAL USE ONLY******************************************************************************

H. MARC Approval

Signature ____________________________ Date ______________________

Name (printed) ____________________________

I. Regional Approval

Signature ____________________________ Date ______________________

Name (printed) ____________________________

******************************************************************************

Rev. 05/2/2018
Explanation of Application Sections and Definition of Terms

Application/Official Company Representative (Primary Contact)

This information will be entered in the association’s membership database. This individual will receive correspondence and emails from the association and is the individual contacted for association-related business. The primary contact is listed in the annual Membership Directory.

B. Dues

Regular Member dues to the association are assessed on total company sales, including all subsidiaries and branches.

For dues purposes, all industrial chemical sales in the U.S., whether they are warehouse, third party, consignment or brokerage/agency, will be reported in total by an applicant company.

Warehouse & Terminal sales: commonly referred to as distribution sales. The NACD distributor ships product from owned warehouse or leased public warehouse or terminal directly to a customer/third-party. The customer/third party is billed by the distributor.

Third-party sales: the NACD distributor places the order with the supplier/manufacturer, which then ships the product directly to the customer/third party. The NACD distributor bills its customer/third party for the order.

Consignment sales: same as warehouse/terminal sales, except that inventory is consigned to a consignee who pays the distributor after the product is sold.

Brokerage or Agency sales: an NACD distributor acts as a sales agent for a producer or another reseller and places the order with the supplier/manufacturer, which then fills the order, delivers it, and bills the customer/third party. The distributor never takes title to the product and may or may not receive commission from this order from the supplier/manufacturer. Dues schedule is based on total commission of the sale, if applicable.

C. Company Profile

1. For NACD membership, a distributor* must take title**(ownership) to more than 50% of the products it sells.
   • *A company that buys chemical products/product lines and resells them to customers. It may provide a range of services (such as product information, estimates, technical support, after-sales services, credit) to its customers.
   • *A company that provides distribution capabilities, including warehousing (itself or third party), logistics, and transportation and may repackage, compound, or blend products. Conversely, the company may only be responsible for the purchase and sale of the product where the manufacturer ships direct to the customer to be eligible for this category.
   • *Examples include companies that may call themselves:
     Factory Pack Distributor / Repackage or full line Distributor / Reseller/Marketer / Toll Blender/Toller / Trader/Broker / Agent
   • **A company that takes title (ownership) of products between the time they are purchased and the time they are delivered.

2. For NACD membership, a distributor must attribute greater than 50% of its sales to the distribution of products.

5. NAICS Codes: North American Industry Classification System (NAICS) is the standard used by federal statistical agencies in classifying business establishments for the purpose of collecting, analyzing, and publishing statistical data related to the U.S. business economy and can be found at http://www.census.gov/cgi-bin/sssd/naics/naicsrch. Typically, NACD members use 424690.

8. A company may lease all its warehouse/or terminal facilities and distribute through these facilities; however, you must indicate at least one distribution facility, even if it is your company headquarters. This is needed for the Responsible Distribution verification process.

16. Full Line/Re-packer is defined as any company that purchases a product in bulk and repackages that product into other containers. Factory Pack is defined as any company that purchases a product in bulk, including tank cars, IBCs, drums, Super Sacks, and bags and does not repackage them for sale. Trader / Broker is defined as any company or individual who assists in arranging a transaction in a listed chemical by negotiating contracts, serving as an agent or intermediary, or fulfilling a formal obligation to complete the transaction by bringing together a buyer and seller, a buyer and transporter, or a seller and transporter, or by receiving any form of compensation for so doing but who may not warehouse those products.